

AFRICAN DEVELOPMENT BANK

International Competitive Bidding Prequalification Document

ADB/ICBP/CGSP/2010/0021

**The Supply, Installation, Configuration,
Commissioning and Maintenance of a
Storage Area Network (SAN)**

February 22nd , 2010

1- Instructions to Applicants (ITA)

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Instructions to Applicants (ITA)

A. GENERAL

1. Scope of Bid

1.1 The African Development Bank, hereinafter referred to as “the Bank” intends to pre qualify eligible firms for the Supply, Installation, Configuration, Commissioning and Maintenance of a Storage Area Network (SAN).

1.2 Prequalification is open to firms and voluntarily formed joint ventures from eligible source countries as defined in the *Rules for Procurement*.

1.3 It is expected that pre qualified applicants will be invited to submit bids during the month and year indicated in the PDS.

1.4 The contract will be for three years (renewable).

2. Source of Funds

The Goods and Services to be provided by the Supplier will be financed by the Bank through its administrative budget.

3. Fraud and Corruption

3.1 It is the Bank’s policy to require that Bidders, Suppliers, Contractors, and Consultants under Bank-financed contracts, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of this policy, the Bank:

(a) defines, for the purposes of this provision, the terms set forth below as follows:

- (i) “*corrupt practice*” means the offering, giving, receiving, or soliciting of any thing of value to influence the action of the Bank official in the procurement process or in contract execution, and includes, inter alia , bribery and extortion or coercion which involve threats of injury to person, property or reputation; and
- (ii) “*fraudulent practice*” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Bank, and includes collusive practices among Bidders or between a bidder and Bank officials (prior to or after bid submission) designed to establish bid prices at artificial, non-competitive levels.

(b) will not award to a Bidder if it determines that this Bidder has engaged in corrupt or fraudulent practices in competing for the Contract;

(c) will declare a firm ineligible, either indefinitely or for a stated period of time, to be awarded a Bank-financed contract if it at any time determines that the firm has engaged in corrupt or fraudulent practices in competing for, or in executing, a Bank-financed contract.

3.2 In pursuit of the policy defined in ITA Clause 3.1, the Bank will reject a firm's application if it at any time determines that corrupt or fraudulent practices were engaged in by the representatives of the Bidder during the procurement process. In addition, applicants should be aware that any firm which has provided services or work in respect of this project (other than the services and any continuation thereof) is not eligible to participate in this prequalification exercise in any form either as an applicant or as a subcontractor.

3.3 Any communications between the Bidder and the Bank related to matters of alleged fraud or corruption shall be made in writing.

4. Eligible Bidders

4.1 This Invitation for prequalification is open to all firms from eligible source countries,

4.2 The applicant (including all members of a joint venture) shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification and bidding documents for the Project, or with an entity that was proposed as Engineer for the Contract. Any such association may result in the disqualification of the Applicant.

4.2 The Applicant shall be excluded from bidding or from any form of participation in this procurement exercise if:

- (a) it is determined that **either the firm or one of its subcontractor(s)** has been engaged by the Purchaser to provide consulting services for the preparation of the design, specifications, and other documents to be used for the procurement of the printing services described in these Prequalification Documents.
- (b) the service or work was executed by an affiliated or associated firm, or by professional staff employed or hired by **the applicant or subcontractor** for the purpose of this project
- (c) it is not from member countries listed in ANNEX 1 - Eligibility for the Provision of Goods and Services in ADB-financed Procurement
- (d) it has been declared ineligible by the Bank for Bank financed contracts during the period determined by the Bank.

4.3 The eligibility of the bidder shall be based on nationality in accordance with the following rules:

- (a) Natural Persons: A natural person is eligible if he or she is a national of a member of the Bank. Where a person has more than one nationality, such person shall be eligible if the nationality indicated in his or her bid is that of a member of the Bank.
- (b) Corporations: A corporation is eligible if it satisfies the following criteria:
 - i. It is incorporated in a country that is a member of the Bank;

- ii. It is a national or a country that is a member of the Bank as determined by the law of its place of incorporation;
 - iii. It has its principal place of business in a country that is a member of the Bank.
- (c) Joint Ventures and Associations: An unincorporated joint venture, partnership or association shall be eligible if at least 60% of its individual or corporate members satisfy the eligibility requirement for individuals or corporations.

5. Eligible Goods and Services

5.1 In order to be eligible, all services carried out under the contract shall have their origin in eligible member countries, defined in ANNEX 1, and all expenditures made under the contract will be limited to such services.

5.2 For purposes of this clause, "origin" means the place where the services component parts thereof are produced.

5.3 For the purposes of this application relates to, Supply, Installation, Configuration, Commissioning and Maintenance of a Storage Area Network (SAN).

- (a) The services and related goods that the Supplier is required to supply under the Contract, plus all associated documentation and drawings, and all other materials and goods to be supplied; and
- (b) the related supply, installation, configuration, and other services necessary for proper operation of the installed equipment to be provided by the selected Bidder and as specified in the Contract.

5.4 The Services provided from a Bank member country may be excluded if the supplier is subject to the conditions specified in ITA Clauses 3.2 and 4.2 (a) and .

6. Subcontracting

6.1 If an applicant intends to subcontract major items of supply or services, it shall include in its Application details of the name and nationality of the proposed Subcontractor for each of those items and *shall be responsible for ensuring that any Subcontractor proposed complies with the requirements of ITA Clauses 3 and 4, and that any Goods or Services components to be provided by the Subcontractor comply with the requirements of ITA Clause 5. Failure to ensure compliance with ITA clauses 3, 4.2(a) and (b) will result in the rejection of a bidder's application.* Bidders are free to list more than one Subcontractor against each item. Quoted rates and prices will be deemed to apply to whichever Subcontractor is appointed, and no adjustment of the rates or prices will be permitted. The Bank reserves the right to delete any proposed Subcontractor from the list. This shall be done prior to Contract signature, by deleting such unacceptable Subcontractors from an appendix to the Contract Agreement, which shall list the approved Subcontractors for each item prior to Contract signature.

For the purposes of this application, a Subcontractor is any vendor or service provider with whom the applicant plans to contracts for the services to be provided in connection with the future realization of this project.

7. Cost of Bidding

7.1 The Applicant shall bear all costs associated with the preparation and submission of its application, and the Bank will in no case be responsible or liable for those costs.

8. Other information

Not applicable

9. Qualifications Criteria

9.1 Prequalification will be based on Applicants meeting all the following minimum pass–fail criteria regarding their general and particular experience, personnel and equipment capabilities, and financial position, as demonstrated by the Applicant’s responses in the Information Forms attached to the Letter of Application (specific requirements for joint ventures are given under paras. 15.1 and 15.2 below). The Bank reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract. The qualifications, capacity, and resources of proposed subcontractors will not be taken into account in assessing those of individual Applicants.

9.2 When highly specialized inputs (essential for execution of the Contract) are required by the Applicant from specialist subcontractors, such subcontractors and their inputs shall be described in Application Form 1.

10. General Experience

The Applicant shall provide evidence that:

- (a) it is a manufacturer or has an exclusive arrangement with the manufacturer to supply and install the respective equipment specified in the PDS; and
- (b) it has achieved average annual turnover as prime contractor (defined as billing for projects in progress and completed) over the period stated in the PDS of US\$ equivalent stated in the PDS.

11. Particular Experience

The Applicant shall provide evidence that, within the period stated in the PDS, it has successfully completed or substantially completed at least the number of contracts stated in the PDS, of a nature and complexity similar to that of the current project. The project may have been executed by the Applicant as a prime contractor/supplier, or as a subcontractor, with references being submitted to confirm satisfactory performance.

12. Financial Capabilities

12.1 The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means sufficient to meet the project cash flow estimated as the USD equivalent specified in the PDS, net of the Applicant's commitments for other contracts.

12.2 The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Bank, for the last three (3) years (unless otherwise stated in the PDS) shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability. If deemed necessary, the Bank shall have the authority to make inquiries with the Applicant's bankers.

13. Personnel Capabilities

The Applicant shall supply general information on the management structure of the firm, and shall make provision for suitably qualified personnel as per the minimum requirements specified in the PDS. Applicant should determine Key Positions in accordance with the work organization and methodology it contemplates using to realize the project; and supply information on a prime candidate and on an alternate for each key position, both of whom shall meet the experience requirements specified (FORM 6).

14. Litigation History

14.1 The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last three (3) years. A consistent history of awards against the Applicant or any partner of a joint venture may result in failure of the application.

14.2 By submission of documentary evidence in its application, the Bidder must establish to the Bank's satisfaction:

- (a) that, in the case of an applicant intending to supply the goods and related services for the project under consideration that the applicant did not itself manufacture or otherwise produce, the applicant has been duly authorized by the Manufacturer or producer to supply those components in the country where the Bank is located; and
- (b) that, in the case of an applicant not doing business within the country where the Bank is located, applicant is or will be (if awarded the Contract) represented by an Agent in that country who is equipped and able to carry out the applicant's installation, maintenance, technical

support, training, and repair obligations that will be prescribed in the General Conditions of Contract and Special Conditions of Contract, Service Level Agreement, Maintenance Contract and / or Technical Requirements.

15. Joint Ventures

15.1 Joint ventures must comply with the following requirements:

(a) Following are the minimum qualification requirements:

- a. The lead partner shall meet not less than 40 percent of all the qualifying criteria given in paras. 10, 11 and 12 above.
- b. The other partners shall meet individually not less than 25 percent of all qualifying criteria given in paras. 10, 11 and 12 above.
- c. The joint venture must satisfy collectively the criteria of sections 9 - 14, for which purpose the relevant figures for each of the partners shall be added together to arrive at the joint venture's total capacity. Individual members must each satisfy the requirements of paras. 12.2 and 14 above.

(b) The formation of a joint venture after prequalification, and any change in a prequalified joint venture, will be subject to the written approval of the Employer prior to the deadline for submission of bids. Such approval may be denied if (i) partners withdraw from a joint venture and the remaining partners do not meet the qualifying requirements; (ii) the new partners to a joint venture are not qualified, individually or as another joint venture; or (iii) in the opinion of the Bank, a substantial reduction in competition may result.

(c) Any future bid shall be signed so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy of the joint venture agreement providing the joint and several liabilities with respect to the contract.

15.2 The prequalification of a joint venture does not necessarily prequalify any of its partners individually or as a partner in any other joint venture or association. In case of dissolution of a joint venture, each one of the constituent firms may prequalify if it meets all of the prequalification requirements, subject to the written approval of the Bank.

16. Requests for Clarification

16.1 Applicants are responsible for requesting any clarification of the prequalification documents. A request for clarification shall be made in writing to the Bank's address indicated in the PDS. The Bank will respond to any request for clarification that it receives earlier than 14 days prior to the deadline for submission of applications. Copies of the Bank's response, including a description of the inquiry but without naming the source will be forwarded to all purchasers of the prequalification documents.

B. SUBMISSION OF APPLICATIONS

17. Submission

17.1 Delivery

Submission of applications for prequalification must be received in two sealed envelopes delivered not later than the date stated in the PDS at the Bank's address indicated in the PDS. The name and mailing address of the Applicant shall be indicated on the inner envelope. The outer envelope shall be clearly marked as indicated in the PDS.

17.2 Late Application

The Bank shall reject late applications.

17.3 Language

All information requested for prequalification shall be provided by Applicants in the language indicated in the PDS. Where information is provided in another language, it shall be accompanied by a translation of its pertinent parts into the language indicated in the PDS. This translation will govern and will be used for interpreting the information.

17.4 Lack of Information

Failure of an Applicant to provide comprehensive and accurate information that is essential for the Bank's evaluation of the Applicant's qualifications, or to provide timely clarification or substantiation of the information supplied, may result in disqualification of the Applicant.

17.5 Material Changes

Applicants and those subsequently prequalified, shall inform the Bank of any material change in information that might affect their qualification status. Bidders shall be required to update key prequalification information at the time of bidding. Prior to award of contract, the lowest evaluated bidder will be required to confirm its continued qualified status in a post qualification (if applicable) review process.

18. Bank's Notification and Bidding Process

18.1 Invitation for Bid

Within the period stated in the PDS from the deadline for submission of applications, the Bank will notify all Applicants in writing of the results of their application. At the same time, successful applicants will be invited to submit a bid.

18.2 One Bid per Bidder

Only firms that have been prequalified under this procedure may submit a bid. A firm shall submit only one bid in the same bidding process. No firm can be a subcontractor while submitting a bid individually in the same bidding process. A firm, if acting in the capacity of Subcontractor in any bid, may participate in more than one bid, but only in that capacity. A Bidder who submits, or participates in more than one bid will cause all the proposals in which the Bidder has participated to be disqualified.

18.3 Bid Security (**Not Applicable**)

Bidders will be required to provide bid security in the form and amount indicated in the bidding documents. The successful bidder will be required to provide performance security in the form and amount indicated in the bidding documents.

18.4 Changes after Prequalification

Any change in the structure or formation of an Applicant after being prequalified and invited shall be subject to written approval of the Bank prior to the deadline for submission of bids. Such approval will be denied if as a consequence of any change:

- (a) an individual firm fails to meet any of the individual qualifying requirements;
- (b) in the opinion of the Bank, a substantial reduction in competition may result.

18.5 Updating Prequalification Information

Bidders shall be required to update the financial information used for prequalification at the time of submitting their bids and to confirm their continued compliance with the qualification criteria. A bid shall be rejected if the Applicant's qualification thresholds are no longer met at the time of bidding.

18.6 Bank's Rights

The Bank reserves the right to take the following actions, and shall not be liable for any such actions:

- (a) amend the scope and cost of any contract to be bid under this project, in which event bids will be invited only from those applicants who meet the resulting amended prequalification requirements;
- (b) reject or accept any prequalification application, and/or any late application; and
- (c) cancel the prequalification process and reject all applications.

C. PREQUALIFICATION DATA SHEET (PDS)

These particular instructions and related Information Forms are intended to complement, amend, or supplement the provisions in the ITA. Please note that the numbering referenced under this section corresponds to provisions in the ITA. In the event of conflict or ambiguity, the provisions in the PDS shall prevail over those in the ITA.

Part A: General

1.1	<p><u>Scope of Work</u></p> <p><u>Ref: ADB /ICBP/CGSP/2010/0021</u></p> <p>The African Development Bank (hereafter referred to as “The Bank”) intends to pre qualify eligible firms for the provision under a three-year agreement (renewable) with the Supply, Installation, Configuration, Commissioning and Maintenance of a Storage Area Network (SAN).</p> <p>The selected solution will be expected to provide the following:</p> <ul style="list-style-type: none">• Storage sharing - partitioned or shared storage between servers.• Disaster tolerance – the ability to continue operations without interruptions• Higher availability – the SAN should enable any-to-any connectivity providing high availability by enabling mirroring, alternate patching etc.• Improved Backup and Recovery – The SAN should include snap shot, snap cloning, business copy and log shipping features.• Improved Performance – Improved throughput features including data de-duplication at source• Simplified migration to new technologies. Facilitate both data and storage subsystem migration from one system to another without interrupting service.• Centralized management – provide the ability to manage storage provisioning to multiple heterogeneous servers from one single point of control.• Scalability – able to add more storage and components as the need arises without interrupting services.
1.3	<p><u>Bid Invitation:</u></p> <p>Expected date of Invitation for Bids: 23th March 2010</p>
4.1	<p><u>Membership Country Eligibility</u></p> <p>Interested bidders must originate from one of the African Development Bank’s member countries. See Annex 1 for a list of the Bank’s Member countries.</p>

<p>11.0</p>	<p><u>Particular Experience</u></p> <p>Demonstrated Experience – At least 5 years printing experience of the Supply, Installation, Configuration, Commissioning and Maintenance of a Storage Area Network (SAN). The applicants must have successfully provided similar services for a minimum of three projects of a similar scope and nature within the past five years.</p> <p>The Bank reserves the right to contact references regarding the company's performance. Use Prescribed Application Forms 3 and 3A.</p>
<p>12.1</p>	<p><u>Financial Capability</u></p> <p>a. Turnover of at least 2.5 million USD per annum for the last 3 years for printing services</p> <p>b. Positive net worth for the past three years. The Bidders shall submit copies of audited financial statements for the past three years (2006, 2007, and 2008).</p> <p>In addition to copies of the financial statements, complete the prescribed Application Form 5 for summarizing financial information.</p>
<p>16.1</p>	<p>African Development Bank Corporate Procurement Division, CGSP.2 EPI Block B, Office 2A2 ADB Temporary Relocation Agency (Tunis) African Development Bank Angle des trois rues: Avenue du Ghana, Rue Pierre de Coubertin, Rue Hedi Nouria BP. 323 1002 Tunis Belvédère Tunisia</p> <p>Fax : +216 71 83 52 49</p> <p>Email : tender@afdb.org</p>

17.1	<p><u>Submission of Applications</u></p> <p>Deadline for submission of application: March 23, 2010 1500hrs (Local Tunis Time)</p> <p><u>Address and Markings of Envelopes</u></p> <p style="text-align: center;">African Development Bank General Services and Procurement Department (CGSP)</p> <p style="text-align: center;">(PREQUALIFICATION FOR SUPPLY, INSTALL AND CONFIGURE A STORAGE AREA NETWORK (SAN) TO SUPPORT A MULTI-VENDOR DYNAMIC VIRTUALIZED DATA CENTRE)</p> <p>The applicants shall complete and submit the following forms:</p> <p>Form1 – General Information</p> <p>Form 2 – General Experience Record</p> <p>Form 2A – Joint Venture Summary (if applicable)</p> <p>Form 3 – Particular Experience Record</p> <p>Form 3A – Details of Contracts of Similar Nature and Complexity</p> <p>Form 3B – Summary Sheet: Current Contract Commitments/Works in Progress</p> <p>Form 5 – Financial Capabilities</p>
17.3	<p><u>Language:</u></p> <p>The language to be used shall be English or French.</p>
18.1	<p><u>Bank's Notification</u></p> <p>Time period from submission of applications: One week</p>

D. LETTER OF APPLICATION

letterhead paper of the Applicant including full postal address, and telephone, facsimile and telex numbers, and cable address]

Date: _____

To: _____

[name and address of the Bank]*

Ref of Contract/ Project: ADB /ICBP/CGSP/2010/0021

1. Being duly authorized to represent and act on behalf of _____ (hereinafter referred to as "the Applicant"), and having reviewed and fully understood all of the prequalification requirements and information provided, the undersigned hereby apply to be prequalified by yourselves as a bidder for the following contract(s) under the subject project:

Note: The applicant is to delete, in ink, any contract for which it does not wish to prequalify, and sign and date the deletion.

2. Attached to this letter are copies of original documents defining¹:

- (a) the Applicant's legal status;
- (b) the principal place of business; and
- (c) the place of incorporation (for Applicants who are corporations), or the place of registration and the nationality of the owners (for Applicants who are partnerships or individually-owned firms).

3. The Bank is hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Letter of Application will also serve as authorization to any individual or authorized

¹ For applications by joint ventures, all the information requested in the prequalification documents is to be provided for the joint venture, if it already exists and for each party to the joint venture separately. The lead partner should be clearly identified. Each partner in the joint venture shall sign the letter.

representative of any institution referred to in the supporting information to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.

4 The Bank may contact the following persons for further information²

General and managerial inquiries	
Contact 1	Address and communication facilities
Contact 2	Address and communication facilities

Personnel inquiries	
Contact 1	Address and communication facilities
Contact 2	Address and communication facilities

Technical inquiries	
Contact 1	Address and communication facilities
Contact 2	Address and communication facilities

² Applications by joint ventures should provide on separate sheet equivalent information for each party to the application.

Financial inquiries	
Contact 1	Address and communication facilities
Contact 2	Address and communication facilities

5. This application is made with the full understanding that:

(a) bids by prequalified Applicants will be subject to verification of all information submitted for prequalification at the time of bidding;

(b) The Bank reserves the right to:

- amend the scope and value of any contracts to be bid under this project; in which event, bids will be invited only from those Applicants who meet the resulting amended prequalification requirements; and
- reject or accept any application, cancel the prequalification process, and reject all applications.

(c) The Bank shall not be liable for any such actions under 5 (b) above.

6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, in the joint venture or association. We also specify the financial commitment in terms of the percentage of the value of the <each> contract, and the responsibilities for execution of the <each> contract.³

7. We confirm that if we bid, as well as any resulting contract, will be:

- i. signed so as to legally bind all partners, jointly and severally; and
- ii. submitted with a joint venture agreement providing the joint and several liability of all partners in the event the contract is awarded to us.

8. The undersigned declare that the statements made and the information provided in the duly completed application are complete, true, and correct in every detail.

³ Applicants who are not joint ventures should delete paras. 6 and 7 and initial the deletions.

Signed

Name

For and on behalf of (name of Applicant or lead partner of a joint venture)

Signed

Name

For and on behalf of (name of partner)

Signed

Name

For and on behalf of (name of Applicant or lead partner of a joint venture)

E. INFORMATION FORMS

The applicants shall at minimum complete and submit the following forms:

Form 1 – General Information

Form 2 – General Experience Record

Form 2A – Joint Venture Summary (if applicable)

Form 3 – Particular Experience Record

Form 3A – Details of Contracts of Similar Nature and Complexity

Form 3B – Summary Sheet: Current Contract Commitments/Works in Progress

Form 5 – Financial Capabilities

However, supplementary information may be provided by Applicants as deemed necessary.

General Information

All individual firms applying for prequalification are requested to complete the information in this form. Nationality information should be provided for all owners or Applicants that are partnerships or individually-owned firms.

Where the Applicant proposes to use named subcontractors for critical components of the project (reference ITA 6), the following information should also be supplied for the subcontractor(s), together with a brief description of their specialized input.

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation / registration	Year of incorporation / registration

Nationality of owners ⁴		
	Name	Nationality
1.		
2.		
3.		
4.		
5.		

⁴ To be completed by all owners of partnerships or individually-owned firms.

APPLICATION FORM 2

PAGE ___ OF ___ PAGES

General Experience Record

(ref. ITA 10)

Name of Applicant or partner of a joint venture

All individual firms and all partners of a joint venture are requested to complete the information in this form with respect to the <each> contract generally. The information supplied should be the annual sales of the Applicant (or each member of a joint venture), in terms of the amounts billed to clients for each year for work in progress or completed (the printing and related services only), converted to U.S. dollars at the rate of exchange at the end of the period reported. The annual periods should be calendar years, with partial accounting for the year up to the date of submission of applications.

Use a separate sheet for each partner of a joint venture.

A brief note on each contract should be annexed, describing the nature of the project, its duration and amount; managerial arrangements, the name of the Purchaser and other relevant details.

Applicants should not enclose testimonials, certificates, and publicity material with their applications; they will not be taken into account in the evaluation of qualifications.

Annual Sales		
Year	Turnover	US\$ equivalent
1. 2006		
2. 2007		
3. 2008		

APPLICATION FORM 2 (A)

PAGE ___ OF ___ PAGES

JOINT VENTURE SUMMARY

Names of all partners of a joint venture
1. Lead partner
2. Partner
3. Partner
4. Partner
5. Partner
6. Partner

Total value of telecommunication services related turnover, in terms of projects billed to clients, in USD equivalent, converted at the rate of exchange at the end of the period reported:

Annual turnover data ; USD equivalent)				
Partner	Form 2 page no.	Year 1	Year 2	Year 3
1. Lead partner				
2. Partner				
3. Partner				
4. Partner				
5. Partner				
6. Partner				
Totals				

FORM 3

Particular Experience Record (ref. ITA 11)

Name of Applicant or partner of a joint venture

To prequalify, the Applicant shall be required to pass the specified requirements applicable to this form, as set out in the PDS.

On separate pages, using the format of Form (3A), the Applicant is requested to list contracts of a value at least equivalent to USD amount stated in ITA 11 of similar nature and complexity to the individual contract(s) or group of contracts for which the Applicant wishes to qualify and undertaken during the period specified in ITA 11. The contract value should be based on the payment currencies of the contracts converted into U.S. dollars, at the date of substantial completion, or for ongoing contracts at the time of award. The information is to be summarized, using Form (3A), for each contract completed or under execution, by the Applicant or by each partner of a joint venture.

Where the Applicant proposes to use named subcontractors, the information in the following forms should also be supplied for each subcontractor.

APPLICATION FORM (3A)

PAGE ___ OF ___ PAGES

Details of Contracts of Similar Nature and Complexity

Name of Applicant or partner of a joint venture

Use a separate sheet for each contract.

1.	Name of contract
	Country
2.	Name of Purchaser Contact Person Contact Telephone Number
3.	Purchaser's address
4.	Nature of works and special features relevant to the individual contract(s) or group of contracts for which the Applicant wishes to prequalify.
5.	Contract role (check one) <input type="checkbox"/> Prime contractor <input type="checkbox"/> Management contractor <input type="checkbox"/> Subcontractor <input type="checkbox"/> Partner in a joint venture
6.	Amount of the total contract/subcontract/partner share (in specified currencies at completion, or at date of award for current contracts)
7.	Equivalent amount US\$ Total contract: \$ _____; Subcontract: \$ _____
8.	Date of award / Date of completion
9.	Contract / subcontract duration (years and months) _____ years _____ months
10.	Contract was completed _____ months ahead/behind original schedule (if behind, provide explanation).
11.	Contract was completed US\$ _____ equivalent under/over original contract amount (if over, provide explanation).
12.	Indicate the approximate percent of total contract value (and US\$ amount) of tasks undertaken by subcontract, if any, and the nature of such tasks.

13.	Specified requirements ⁵
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⁵ The Applicant should insert any specific contractual criteria required for particular operations, such as environmental and quality requirements.

APPLICATION FORM 3 (B)

PAGE ___ OF ___ PAGES

Summary Sheet: Current Contract Commitments / Works in Progress

Name of Applicant or partner of a joint venture

Applicants and each partner to an application should provide information on their current commitments on all contracts that have been awarded; or for which a letter of intent or acceptance has been received, or for contracts approaching completion; but for which an unqualified, full completion certificate has yet to be issued.

Name of contract	Value of outstanding work (current USD equivalent)	Estimated completion date
1.		
2.		
3.		
4.		
5.		
6.		

Financial Capabilities

Name of Applicant or partner of a joint venture

Applicants, including each partner or a joint venture, shall provide financial information to demonstrate that they meet the requirements stated in the ITA. Each applicant or partner of a joint venture must fill in this form. If necessary, use separate sheets to provide complete banker information. A copy of the audited balance sheets shall be attached.

Banker	Name of banker		
	Address of banker		
	Telephone		Contact name and title
	Fax		E-mail

Summarize actual assets and liabilities in U.S. dollar equivalent (at the rates of exchange current at the end of each year) for the previous three calendar years, or such period as stated in PDS 12.1.

Financial information in US\$ equivalent	Actual:		
	Previous three years		
	2006	2005	2004
1. Total assets			
2. Current assets			
3. Total liabilities			
4. Current liabilities			
5. Profits before taxes			

6. Profits after taxes			
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Specify proposed sources of financing to meet the cash flow demands of the Project, net of current commitments (Instruction to Applicants, para. 12.1).

Source of financing	Amount (USD equivalent)
1.	
2.	
3.	
4.	

Attach audited financial statements—including, as a minimum, profit and loss account, balance sheet, and explanatory notes—for the period stated in PDS 12.2 (for the individual Applicant or each partner of a joint venture).

Firms owned by individuals, and partnerships, may submit their balance sheets certified by a registered accountant, and supported by copies of tax returns, if audits are not required by the laws of their countries of origin.

APPLICATION FORM 6

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Personnel Capabilities

Name of Applicant

For specific positions **essential** to contract management and implementation, Applicants should provide the names of at least two candidates qualified to meet the specified requirements stated for each position. The data on their experience should be supplied on separate sheets using one Form (6A) for each candidate.

Applicants may propose alternative management and implementation arrangements requiring different key personnel, whose experience records should be provided.

1.	Title of position
	Name of prime candidate
	Name of alternate candidate
2.	Title of position
	Name of prime candidate
	Name of alternate candidate
3.	Title of position
	Name of prime candidate
	Name of alternate candidate
4.	Title of position
	Name of prime candidate
	Name of alternate candidate

APPLICATION FORM (6A)

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Candidate Summary

Name of Applicant

Position	Candidate <input type="checkbox"/> Prime <input type="checkbox"/> Alternate	
Candidate information	Name of candidate	Date of birth
	Professional qualifications	
Present employment	Name of employer	
	Address of employer	
	Telephone	Contact (manager / personnel officer)
	Fax	Telex
	Job title of candidate	Years with present employer

Summarize professional experience over the last ten (10) years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

From	To	Company / Project / Position / Relevant technical and management experience

F. ANNEX 1

Eligibility for the Provision of Goods and Services in ADB Financed Procurement

Please find below the list of countries from which Applicants, Subcontractors, Goods, and Services are eligible to participate in this procurement financed by the Bank or the Purchaser.

REGIONAL COUNTRIES

- | | |
|--|---|
| 1. Algeria / Algérie | 21. Gambia / Gambie |
| 2. Angola | 22. Ghana |
| 3. Benin / Bénin | 23. Guinea / Guinée |
| 4. Botswana | 24. Guinea Bissau / Guinée Bissau |
| 5. Burkina Faso | 25. Kenya |
| 6. Burundi | 26. Lesoto |
| 7. Cameroon / Cameroun | 27. Liberia |
| 8. Cape Verde / Cap-Vert | 28. Libya / Lybie |
| 9. Central African Rep. / Rép. Centafricaine | 29. Madagascar |
| 10. Chad / Tchad | 30. Malawi |
| 11. Comoros / Comores | 31. Mali |
| 12. Congo | 32. Mauritania / Mauritanie |
| 13. Côte d'Ivoire | 33. Mauritius / Maurice |
| 14. Democratic Rep. of Congo / Rép.
Démocratique du Congo | 34. Morocco / Maroc |
| 15. Djibouti | 35. Mozambique |
| 16. Egypt / Egypte | 36. Namibia / Namibie |
| 17. Equatorial Guinea / Guinée Equatoriale | 37. Niger |
| 18. Eritrea / Erytrée | 38. Nigeria |
| 19. Ethiopia / Ethiopie | 39. Republic of South Africa / Rép. Sud-
Africaine |
| 20. Gabon | 40. Rwanda |
| 42. Senegal / Sénégal | 41. Sao Tome & Principe |
| 43. Seychelles | 48. Tanzania / Tanzanie |
| 44. Sierra Leone | 49. Togo |
| | 50. Tunisia / Tunisie |

- | | |
|-----------------------|----------------------|
| 45. Somalia / Somalie | 51. Uganda / Ouganda |
| 46. Sudan / Soudan | 52. Zambia / Zambie |
| 47. Swaziland | 53. Zimbabwe |

NON REGIONAL COUNTRIES

- | | |
|-------------------------|---|
| 1. Argentina | 13. Japan / Japon |
| 2. Austria / Autriche | 14. Korea / Corée |
| 3. Belgium / Belgique | 15. Kuwait / Koweït |
| 4. Brazil / Brésil | 16. Netherlands / Pays-Bas |
| 5. Canada | 17. Norway / Norvège |
| 6. China | 18. Portugal |
| 7. Denmark / Danemark | 19. Saudi Arabia / Arabie Saoudite |
| 8. Finland / Finlande | 20. Spain / Espagne |
| 9. France | 21. Sweden / Suède |
| 10. Germany / Allemagne | 22. Switzerland / Suisse |
| 11. India / Inde | 23. United Kingdom / Royaume-Uni |
| 12. Italy / Italie | 24. United States of America / Etats
Unis d'Amérique |