



**Joint Africa Institute (JAI)
Institut Multilatéral d'Afrique (IMA)**

A partnership of the African Development Bank, the International Monetary Fund, and the World Bank

**Workshop for Financial Sector Supervisors on Anti-Money Laundering and
Combating the Financing of Terrorism (AML/CFT)**

Tunis, Tunisia

June 15-19, 2009

Program

Instructors:

Francisco Figueroa, Senior Financial Sector Expert, Financial Integrity Group (FIG) - Legal Department, IMF
Carolina Claver, IMF Consultant
Paul Heckles, IMF Consultant

Monday, June 15

Subject

08:00 a.m. – 09:00 a.m.

Registration of Participants Nile1 room, 2nd floor

9:00 a.m. – 9:45 a.m.

Opening Session

- **Opening Remarks**

Mr. Paul Mahoney, Director, JAI

- **Overview of the Seminar**

Mr. Francisco Figueroa, Senior Financial Sector Expert, FIG-IMF

- **Introduction of Participants**

SESSION I

9:45 a.m. – 10:30 a.m.	Overview of the International Framework for AML/CFT
10:30 a.m. – 11:00 p.m.	Coffee/Tea break
11:00 a.m. – 12:00 noon	Overview of Legal Obligations for AML/CFT in the Banking, Insurance, and Securities Sectors
12:30 p.m. – 2:00 p.m.	Lunch

SESSION II

2:00 p.m. – 3:30 p.m.	Banking, Insurance, Securities - International Standards and AML/CFT Initiatives
3:30 p.m. – 4:00 p.m.	Coffee/Tea break
4:00 p.m. – 5:30 p.m.	Case Study: Group Discussion and Presentation
6:00 p.m.	Welcome Reception , “ZAMBEZE room” – 5th floor

Tuesday, June 16

SESSION III

9:00 a.m. – 10:30 a.m.	Core FATF Recommendations – Preventive Measures for Financial Institutions
10:30 a.m. – 11:00 a.m.	Coffee/Tea break
11:00 a.m. – 12:30 p.m.	Customer Due Diligence for Banking, Insurance, and Securities Sectors (Continued)
12:30 p.m. – 2:00 p.m.	Lunch , “le buffet restaurant”- 1st floor

SESSION IV

2:00 p.m. – 3:30 p.m.	Suspicious Transaction Reporting (STR) and Recordkeeping Obligations
3:30 p.m. – 4:00 p.m.	Coffee/Tea break
4:00 p.m. – 5:30 p.m.	Case Study, Group Discussion and Presentation

Wednesday, June 17

SESSION V

9:00 a.m. – 10:30 a.m.	Regulation and Supervision of Financial Institutions
10:30 a.m. – 11:00 a.m.	Coffee/Tea break
11:00 a.m. – 12:30 p.m.	Domestic and International Cooperation - Financial Sector Supervisors
12:30 p.m. – 12:45 p.m.	Group photo – 5 th floor
12:45 p.m. – 2:00 p.m.	Lunch

SESSION VI

2:00 p.m. – 3:30 p.m.	Compliance Program
3:30 p.m. – 4:00 p.m.	Coffee break
4:00 p.m. – 5:30 p.m.	Compliance Program (Continued)

Thursday, June 18

9:00 a.m. – 10:30 a.m.	Case Study, Group Discussion and Presentation
10:30 a.m. – 11:00 a.m.	Coffee/Tea break

SESSION VII

11:00 a.m. – 12:30 p.m.	AML/CFT Risk Based Supervision
12:30 p.m. – 2:00 p.m.	Lunch
2:00 p.m. – 3:30 p.m.	AML/CFT Risk Based Supervision (Continued)
3:30 p.m. – 4:00 p.m.	Coffee/Tea break
4:00 p.m. – 5:30 p.m.	Case Study and Group Discussion

Friday, June 19

9:00 a.m. – 10:30 a.m.	Group Presentation of Case Study
10:30 a.m. – 11:00 a.m.	Coffee/Tea break
11:00 a.m. – 12:00 p.m.	Group Presentation of Case Study
12:00 p.m. – 12:45 p.m.	Evaluation
	Closing Remarks and Distribution of Certificates
	<ul style="list-style-type: none">• Mr. Paul Mahonney, Director, JAI• Francisco Figueroa, FIG-IMF
12:45 p.m.	Farewell Lunch , Kiliminjaro restaurant, 5th floor